

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER
2001 1123A

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371

U.S. APPLICATION NO.
(if known, give CIR 1.) **09/914263**

International Application No.
PCT/JP00/09075

International Filing Date
December 21, 2000

Priority Date Claimed
December 24, 1999

Title of Invention
ANTENNA DUPLEXER

Applicant(s) For DO/EO/US
Kazushi NISHIDA et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. §371(f)).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau. ATTACHMENT A
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT B
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:



11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT C
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.

☐ A SECOND or SUBSEQUENT preliminary amendment.

14. [X] Other items or information:

- unexecuted Declaration and Power of Attorney with Cover Letter - ATTACHMENT D
- Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304) - ATTACHMENT E

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975

| U.S. APPLICATION NO. 09/914263 | | INTERNATIONAL APPLICATION NO. PCT/JP00/09075 | | ATTORNEY'S DOCKET NO. 2001 1123A | | | | | |
|--|--------------|---|--|---|----------|--------------|--------------|----------|--|
| 15. [X] The following fees are submitted BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee nor international search fee paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 International Search Report has been prepared by the EPO or JPO \$ 860.00 International preliminary examination fee not paid of USPTO but international search paid to USPTO \$ 710.00 International preliminary examination fee paid to USPTO but claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 690.00 International preliminary examination fee paid of USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00 ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td style="height: 100px; vertical-align: bottom;">\$860.00</td> <td></td> </tr> </table> | | CALCULATIONS | PTO USE ONLY | \$860.00 | |
| CALCULATIONS | PTO USE ONLY | | | | | | | | |
| \$860.00 | | | | | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">\$</td> <td style="width:50%;"></td> </tr> </table> | | \$ | | | |
| \$ | | | | | | | | | |
| Claims | Number Filed | Number Extra | Rate | | | | | | |
| Total Claims | 8 - 20 = | 0 | X \$18.00 | \$ | | | | | |
| Independent Claims | 2 - 3 = | 0 | X \$80.00 | \$ | | | | | |
| Multiple dependent claim(s) (if applicable) | | | | + | \$270.00 | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$860.00 | | | | | |
| <input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2. | | | | \$ | | | | | |
| SUBTOTAL = | | | | \$860.00 | | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | + | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | \$860.00 | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property | | | | + | \$ | | | | |
| TOTAL FEES ENCLOSED = | | | | \$860.00 | | | | | |
| | | | | Amount to be refunded | \$ | | | | |
| | | | | Amount to be charged | \$ | | | | |
| a. [X] A check in the amount of \$860.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u> . | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | |
| 19. CORRESPONDENCE ADDRESS <div style="text-align: center;">  000513 PATENT TRADEMARK OFFICE </div> | | | By:  Dhiren R. Odedra, Registration No. 41,227 for Charles R. Watts, Registration No. 33,142 WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250 August 24, 2001 | | | | | | |